



Title:

**Subdivision Based Piecewise  $C^2$  Surfaces Construction for Meshes of Arbitrary Topology<sup>1</sup>**

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Introduction:

It has been a long desire and a long effort of the computer graphics and geometric design community to have a nice approach to construct smooth surfaces from meshes of arbitrary topology. A nice approach should satisfy the following requirements:

- *simple*: no linear or non-linear system needs to be solved,
- *local*: changes to a control mesh only affect the resulting surface locally,
- *smooth*: the resulting surface is  $C^2$  everywhere, including at any extra-ordinary points,
- *convex*: the resulting surface satisfies the convex hull property,
- *explicit*: the resulting surface has an explicit expression of the form  $WMG$  for each patch, where  $W$  is a parameter vector,  $M$  is a constant matrix and  $G$  is the control point vector, so that surface evaluation, and computation of the first and second derivatives, normal and curvature at any point can be easily done from the simple representation.

When the degree (valence) of each vertex of the given mesh is 4, the algorithm for generating tensor product B-spline surfaces is such a nice approach. However, for meshes not in this category, as far as we know, there is no such an approach reported in the literature yet, although there are approaches that satisfy almost all of the above requirements [1,2,3,4,5,6,7,8,9,10]. In this paper we propose a new smooth surface construction technique that satisfies all the above requirements.

Previous Work:

Many researches have been performed to improve the smoothness of a CCSS at extraordinary points. Prautzsch [6] modifies the subdivision scheme near extraordinary points to generate a  $C^2$  everywhere surface with zero curvature at extraordinary points. Zorin [9] and Levin [2] present schemes to yield a  $C^2$  continuous surface by blending the limit surface with a low degree polynomial defined over the characteristic map in the vicinity of each extraordinary point. Loop and Schaefer [9] present a second order smooth filling of an  $n$ -valence Catmull-Clark spline ring with  $n$  biseptic patches, with shape optimization for free parameters. Peters and Karciauskas [5] introduce a guided subdivision scheme that uses a Bezier surface as a guide for each subdivision step, and a  $C^2$  accelerated Bi-3 guided subdivision that uses  $2^m$  subfaces in the  $m$ -th level for surface patches surrounding extraordinary points. In the second case, they show that although this scheme is not practical for Catmull-Clark surfaces, it can be applied to a polar configuration. However, these solutions are not completely satisfactory yet. Blending the limit surface with a precomputed curvature continuous surface patch is not flexible in surface representation. Filling the holes with bidegree-6 patches will result in higher

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Gaussian curvature near the extraordinary points and make the limit surface unattractive. The bi-cubic subdivision scheme that generates  $2^m$  subpatches in the  $m$ -th subdivision is also undesired.

**Basic Idea:**

The basic idea of our approach is that for every patch  $P_i$  around an extra-ordinary vertex  $V$  of degree  $n$ ,  $1 \leq i \leq n$ , we construct two  $C^2$ -continuous patches  $S_i$  and  $T_i$  (See Figure 1) in a way such that

- $S_i$  is  $C^2$ -continuously connected with  $S_{i-1}$  and  $S_{i+1}$ , except at  $V_\infty$ , where it is  $C^0$ ,
- $S_i$  is connected to  $P_i$  at  $C_i$  with  $C^2$ -continuity, where  $C_i$  is the intersection curve of  $S_i$ ,  $T_i$  and  $P_i$ ,
- $T_i$  is  $C^2$ -continuously connected with  $T_{i-1}$  and  $T_{i+1}$ ,
- all  $T_i$ 's are  $C^2$ -continuously connected at the extra-ordinary point  $V_\infty$ ,
- $T_i$  is connected to  $P_i$  at  $C_i$  with  $C^0$ -continuity.

Note that if  $S_i$  and  $T_i$  are constructed this way, then a surface obtained by linearly blending  $S_i$  and  $T_i$  together is  $C^2$ -continuous everywhere. The key is how to construct  $S_i$  and  $T_i$ , for  $1 \leq i \leq n$ .

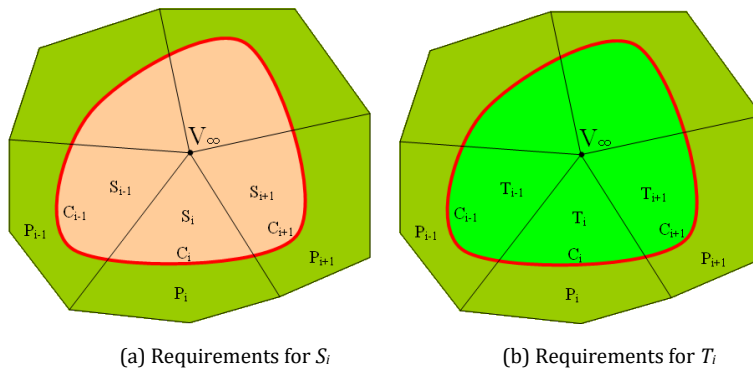


Fig. 1: Basic idea.

**Construction of  $S_i$ :**

For a given mesh, we assume that all the faces are quadrilaterals and all the extra-ordinary vertices are separated by at least two faces. If it is not the case, simply perform (at most) two Catmull-Clark subdivisions to reach such a status. We consider all the patches  $P_i$  around an extra-ordinary vertex  $V$  of valance  $n$ ,  $1 \leq i \leq n$ . It is well known that  $P_i$  depends on its surrounding  $2n + 8$  vertices only [1].

Let  $G_1 = [V, E_1, \dots, E_n, F_1, \dots, F_n, I_1, \dots, I_7]^T$ . Vertices for  $G_i$  can be identified similarly from the notation given in the paper [1]. Let

$$W(u, v) = [1, u, v, u^2, uv, v^2, u^3, u^2v, uv^2, v^3, u^3v, u^2v^2, uv^3, u^3v^2, u^2v^3, u^3v^3]. \tag{1}$$

Then  $P_i$  can be defined as follows.

$$P_i(u, v) = \begin{cases} \text{something we do not need,} & [0, \frac{1}{2}] \times [0, \frac{1}{2}] \\ W(2u - 1, 2v)M_4K_1AG_i, & [\frac{1}{2}, 1] \times [0, \frac{1}{2}] \\ W(2u - 1, 2v - 1)M_4K_2AG_i, & [\frac{1}{2}, 1] \times [\frac{1}{2}, 1] \\ W(2u, 2v - 1)M_4K_3AG_i, & [0, \frac{1}{2}] \times [\frac{1}{2}, 1] \end{cases} \tag{2}$$

where  $M_4$  is the B-spline tensor matrix of size  $16 \times 16$ ,  $K_1, K_2, K_3$  are constant picking matrices of size  $16 \times 24$ , each of which picks 16 proper vertices from the mesh if one subdivision is performed on patch  $P_i$  (See [1]). Matrix  $A$  is the extended Catmull-Clark subdivision matrix [1] which is of size  $24 \times (2n + 8)$ .

Now define  $C_i(t) = P_i(\cos t, \sin t)$ ,  $t \in [0, \pi/2]$ . Let  $L_i(r, t) = P_i(r \cos t, r \sin t)$ . Then

$$L_i^r(1, t) = \frac{\partial L_i(r, t)}{\partial r} \Big|_{r=1}, \quad L_i^{rr}(1, t) = \frac{\partial^2 L_i(r, t)}{\partial r^2} \Big|_{r=1}$$

are the first and second derivatives of  $P_i$  at  $C(t)$  with respect to  $r$ , respectively. Denote the limit point of  $V$  by  $V_\infty$ . It is well known [1] that

$$V_\infty = \frac{1}{n(n+5)}(n^2V + 4\sum E_i + \sum F_i)$$

Let  $R = [1, r, r^2, r^3]$ , then we can construct a Bézier curve as follows such that it has the same first and second derivatives at  $C(t)$  as those of  $P_i$  at  $C(t)$ .

$$S_i(r, t) = RM_b[V_\infty, L_i(1, t) - \frac{2}{3}L_i^r(1, t) + \frac{1}{6}L_i^{rr}(1, t), L_i(1, t) - \frac{1}{3}L_i^r(1, t), L_i(1, t)]^T \tag{3}$$

where  $0 \leq r \leq 1$ ,  $0 \leq t \leq \pi/2$  and  $M_b$  is the Bézier matrix. If we plug  $L_i$ ,  $L_i^r$  and  $L_i^{rr}$  into Eq. (3) and fully expand the formula, we get a matrix form representation for  $S_i$  as follows.

$$S_i(r, t) = \tilde{W}(r, t)\tilde{M}_n G_i, \quad 0 \leq r \leq 1, 0 \leq t \leq \pi/2, \tag{4}$$

where  $A_n$  is a constant matrix of size  $64 \times (2n + 8)$  and  $A_n$  can be pre-calculated for each  $n$ .

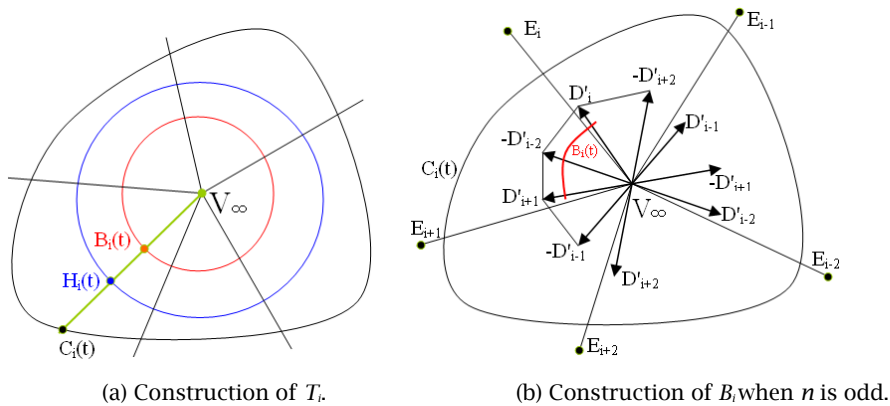


Fig. 2: Using Bézier curve to construct  $T_i$ .

Construction of  $T_i$ :

Recall that the requirements for the construction of  $T_i$  are that  $T_i$  itself has to be  $C^2$  everywhere,  $C^2$  with its neighboring patches  $T_{i-1}$  and  $T_{i+1}$  including at  $(0,0)$ , and at least  $C^0$  with  $C_i(t)$ . There are many ways to construct  $T_i$ . One simple way is to construct it as a Bézier patch, using an approach similar to the one given in the above section. For example, if we use two coplanar circles for all the  $B_i(t)$ 's and  $H_i(t)$ 's in the patches (see Figure 2) and let  $R = [1, r, r^2, r^3]$ , then the Bézier curve

$$T_i(r, t) = RM_b[V_\infty, B_i, H_i, C_i]^T, \quad 0 \leq r \leq 1,$$

becomes a surface when  $t$  varies, and this surface satisfies all the above requirements if the radius of  $H_i$  is two times the radius of  $B_i$ . Note that two Bézier curves constructed from  $[V_\infty, B, H, C]$  and  $[V_\infty, \hat{B}, \hat{H}, \hat{C}]$  are  $C^2$  smoothly connected at  $V_\infty$  if and only if (1)  $B$ ,  $V_\infty$ , and  $\hat{B}$  are collinear, (2)  $V_\infty$  is the midpoint of  $B$  and  $\hat{B}$  and, (3)  $\hat{H} = H + 4(V_\infty - B)$ . The above defined  $T_i(r, t)$  satisfies all the conditions because the two coplanar circles are smooth and symmetric with respect to  $V_\infty$ . However, the resulting surface from this  $T_i(r, t)$  may not be the one the designer wants. So we need more constraints on  $B_i(t)$  and  $H_i(t)$ . In the following, we will construct a  $T_i$  that is similar to the original subdivision surface  $P_i$  at the extra-ordinary point by requiring that  $T_i$  and  $P_i$  have the same location, same first and second derivatives at  $V_\infty$ .

The basic idea is again to construct Bézier curves that pass through  $V_\infty$  and have the same partial derivatives at  $V_\infty$  as  $P_i$ . This is done through four steps. First, we construct a B-spline curve  $B_i(t)$  around

the extra-ordinary point using the first partial derivative vectors along each edge of the extra-ordinary point. Second, we construct another B-spline curve  $H_i(t)$  around the extra-ordinary point using the second partial derivative vectors along each edge of the extra-ordinary point. Third, find four control points for a Bézier curve such that it passes through  $V_\infty$  and  $C_i(t)$ , and such that its first derivative at  $V_\infty$  is  $B_i(t)$  and the second derivative at  $V_\infty$  is  $H_i(t)$ . Finally, using the four points, we can construct a Bézier curve which becomes a smooth surface when  $t$  varies. Because  $B_i(t)$ ,  $H_i(t)$  and  $C_i(t)$  are  $C^2$  continuous, the constructed Bézier surface is  $C^2$  smooth everywhere except at the extra-ordinary point. We can make it  $C^2$  at the extra-ordinary point by adding one more condition such that  $B_i(t)$  and  $H_i(t)$  are symmetric with respect to the point  $V_\infty$ .

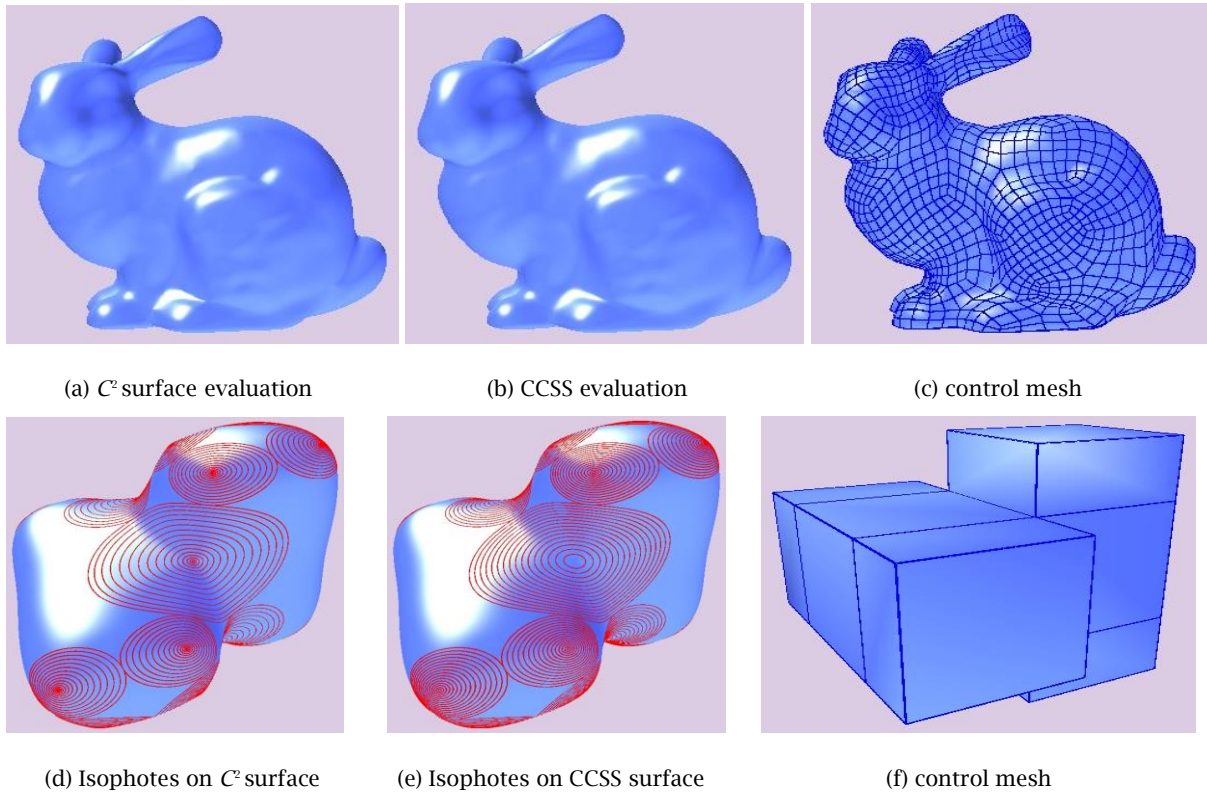


Fig. 3: Test examples.

#### Blending $T_i$ with $S_i$ :

To construct a  $C^2$  patch  $Q(r,t)$  in the  $i$ th face around an extra-ordinary vertex  $V$  of valance  $n$ , we first construct  $T_i$  and  $S_i$  using the methods given in the previous sections and then blend them together smoothly with a  $C^2$  continuous blending function as follows.

$$\begin{aligned} Q_i(r,t) &= r^2 S_i(r,t) + (1-r^2) T_i(r,t) \\ &= r \widetilde{W} \widetilde{M}_n G_i + (1-r) \widetilde{W} \widetilde{M}_n G_i \\ &= \mathcal{W} \mathcal{M}_n G_i, \end{aligned} \quad (8)$$

where  $0 \leq r \leq 1$ ,  $0 \leq t \leq \pi/2$ ,  $W = [W_t, rW_t, r^2W_t, r^3W_t, r^4W_t]$  and  $M_n$  is a constant coefficient matrix of size  $80 \times (2n+8)$ .  $W_t$  is defined in section 4.  $M_n$  can be pre-computed for each  $n$  involved.

Now we can define a new  $C^2$  patch  $\widehat{P}_i(u,v)$  to replace the whole patch  $P_i(u,v)$ , as follows.

$$\widehat{P}_i(u, v) = \begin{cases} P_i(u, v), & \text{when } u^2 + v^2 \geq 1, \\ Q_i(r, t), & \text{when } u^2 + v^2 \leq 1, \end{cases} \quad (9)$$

where  $0 \leq u, v \leq 1$  and  $u = r \cos t, v = r \sin t$ . It is clear that  $\widehat{P}_i(u, v)$  is  $C^2$  itself and  $C^2$  with its neighboring patches. Note that from Eq. (2) one can see that  $P_i(u, v)$ , when  $u^2 + v^2 \gg 1$ , can also be represented by a matrix form  $W \overline{M}_n G_n$ , where  $W$  is defined in section 4,  $\overline{M}_n$  is a constant matrix of size  $16 \times (2n+8)$  and can be pre-calculated as well. Hence at any parameter point  $(u, v)$ ,  $\widehat{P}_i(u, v)$  and its derivatives can be calculated explicitly using just simple matrix operations.

#### Test Results:

The proposed approach has been implemented in C++ using OpenGL as the supporting graphics system on the Windows platform. Quite a few examples have been tested with the method described here (see Figure 3). All the examples have extra-ordinary vertices. With  $M_n$  pre-calculated for all different valences of  $n$ , the implementation is actually very easy. Although  $M_n$  is a big matrix, the computation needed for each point is not big at all because  $M_n G_n$  needs to be done only once. Our method is designed to ensure the resulting  $C^2$  surface is similar to the subdivision surface. Figures 2(a-b) and 4(d-e) show two cases of comparison between a  $C^2$  surface and its corresponding Catmull-Clark subdivision surface (CCSS). In either case, it is not obvious to tell the difference between the  $C^2$  surface and its corresponding CCSS at all, although some very minor differences indeed exist. Figures 2(d-e) show the isophotes around extra-ordinary points using also our approach and CCSS approach. Ten isophotes are displayed around each extra-ordinary point and each isophote is corresponding to a circle in parameter space. The radii for the  $C^2$  isophotes are the same as those for the CCSS isophotes. From these figures we can see that, when a point in the parameter space tends to  $(0, 0)$ , the points generated by our approach are closer to the extra-ordinary point than points generated by a subdivision approach. When there are more points closer to the extra-ordinary point, there is more room for the generated surface to overcome the oscillation problem around an extra-ordinary point. As a result, our method produces smoother surface in the neighborhood of an extra-ordinary vertex.

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